



Woodrow Wilson  
International  
Center  
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*Comparative Urban Studies Project*



**USAID**  
FROM THE AMERICAN PEOPLE

# BECOMING GLOBAL AND THE NEW POVERTY OF CITIES



Edited by

Lisa M. Hanley

Blair A. Ruble

Joseph S. Tulchin

# **BECOMING GLOBAL AND THE NEW POVERTY OF CITIES**

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and Joseph S. Tulchin

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Woodrow Wilson International Center for Scholars**

2005

U.S. I. C. , DC

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**Woodrow**

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## ACKNOWLEDGEMENTS

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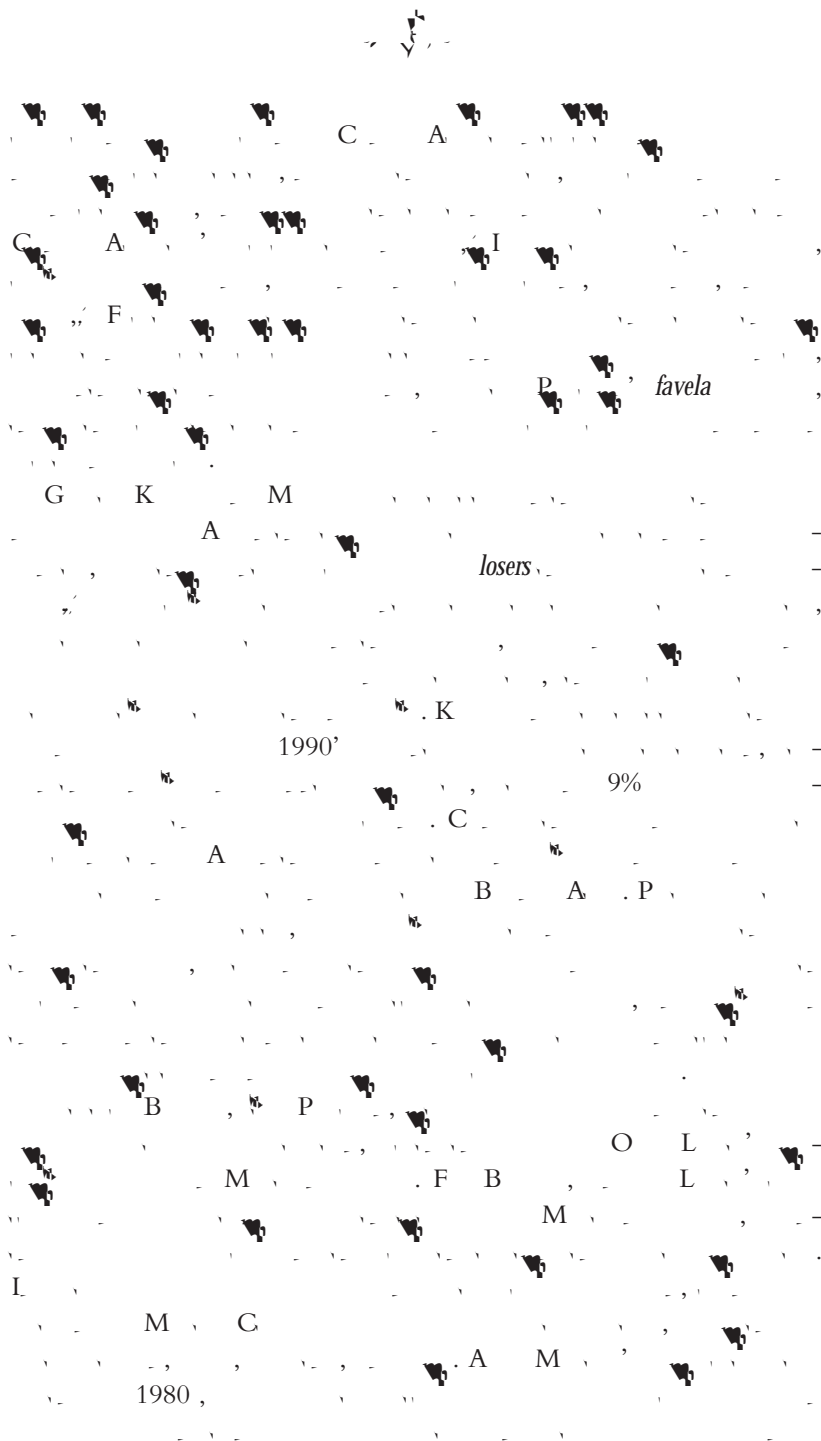


LISA M. HANLEY, BLAIR A. RUBLE, JOSEPH S. TULCHIN

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PART ONE

# **LATIN AMERICA**

## The Myth of Marginality Revisited: The Case of Favelas in Rio De Janeiro, 1969–2003

JANICE E. PERLMAN

*Yes, but the favela was never the refuge of the marginal, I said  
There are only humble people, marginalized  
And this truth does not appear in the newspaper  
The favela is a social problem ( . . . )  
And what's more, I am the favela  
My people are workers  
I never had social assistance  
But I can live only there  
Because for the poor there is no other way  
We don't even have the right to a salary of hunger  
Or a normal life  
The favela is a social problem.<sup>1</sup>*

—*Eu Sou Favela, Noca da Portela  
and Sergio Mosca, 1994*

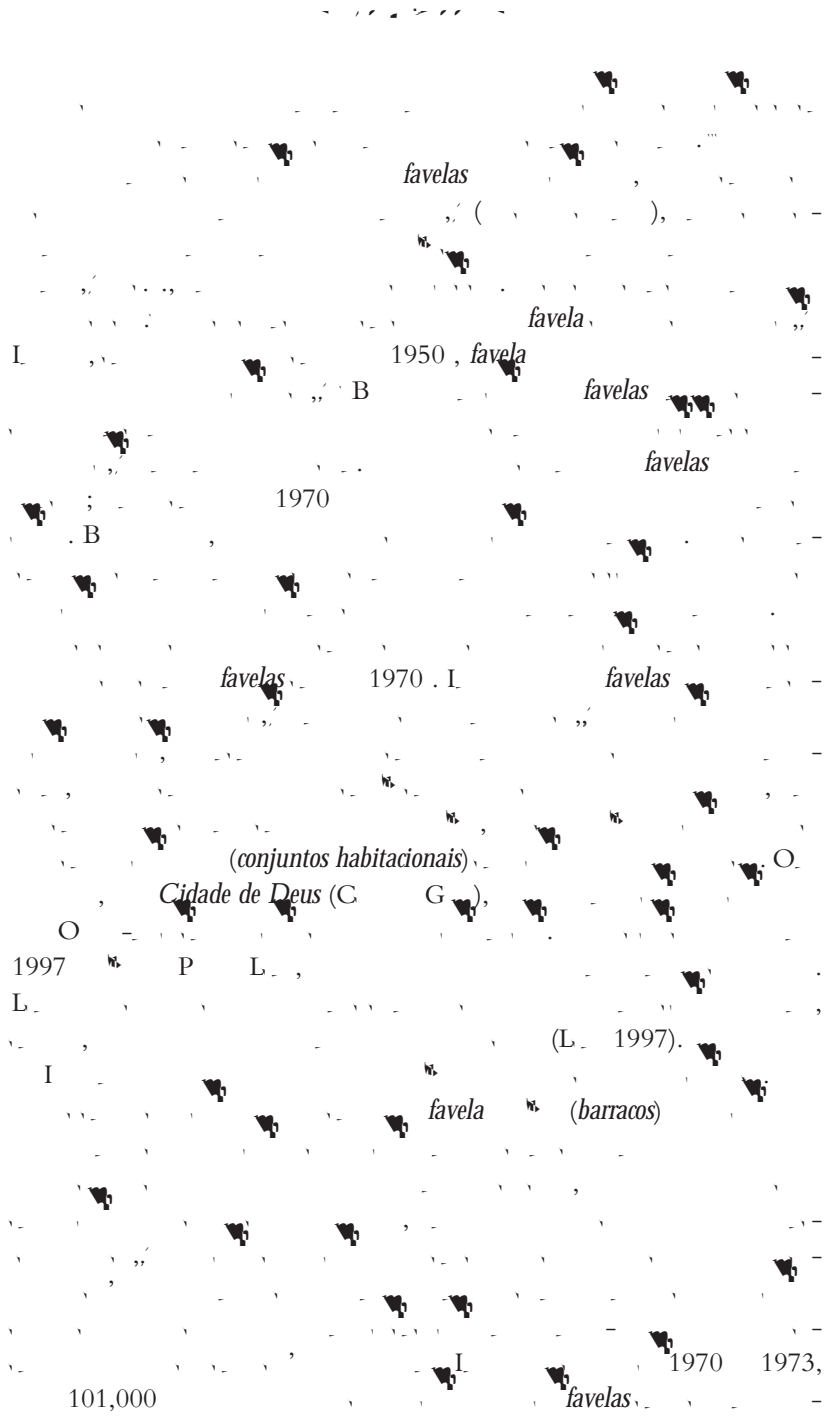






**Population of Favela Residents and Total Population  
of the City of Rio De Janeiro (1950 – 2000)**

<b>Year</b>	<b>Population of Favelas (a)</b>	<b>Total Population of Rio (b)</b>	<b>a/b (%)</b>	<b>Favela Growth Rate by decade</b>	<b>Rio Growth Rate by decade</b>
<b>1950</b>	169.305	2.337.451	7,24%	-	-
<b>1960</b>	337.412	3.307.163	10,20%	99,3%	41,5%
<b>1970</b>	563.970	4.251.918	13,26%	67,1%	28,6%
<b>1980</b>	628.170	5.093.232	12,33%	11,4%	19,8%
<b>1990</b>	882.483	5.480.778	16,10%	40,5%	7,6%
<b>2000</b>	1.092.958	5.857.879	18,66%	23,9%	6,9%



## THE BACK STORY AND THE RE-STUDY

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*The Myth of Marginality: Urban Poverty and Politics in Rio de Janeiro*

( C P , 1976; P E P , P , 1977).

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## DEBUNKING MARGINALITY'S MYTHS

### *The Myth of Marginality*

*Families arrive from the interior pure and united...in stable unions. The disintegration begins in the favela as a consequence of the promiscuity, the bad examples and the financial difficulties there...young girls are seduced and abandoned; they get pregnant but don't feel any shame...liquor and drugs serve to dull the disappointments, humiliations and food deficiency...The nights belong to the criminals...one can hear the screams for help. But no one dares to interfere for fear they will be next....Policeman rarely penetrate the favela and then only in groups (Fundação Leão XIII, Favelas of Guanabara, 1968).*

**Figure 1. The Concept of Marginality**

---

**PROPOSITIONS**

**Internal disorganization.** The favela lacks internal social organization or cohesion; its residents are lonely and isolated.

**External isolation.** The favelado is not integrated into the city; he does not make wide use of the urban context and he never feels fully at home in it.

**Culture of traditionality.** The favela is an enclave of rural parochialism in the city.

**Culture of poverty.** The favelado as a reaction and adaptation to his deprivation develops and perpetuates a culture of poverty.

**Economic parasitism.** Favelados are a drain on the urban economy, taking out more that they give.

**Economic parochialism.** Both the culture of traditionality and the culture of poverty contribute to an economic parochialism in the favelado.

**CONCEPTS**

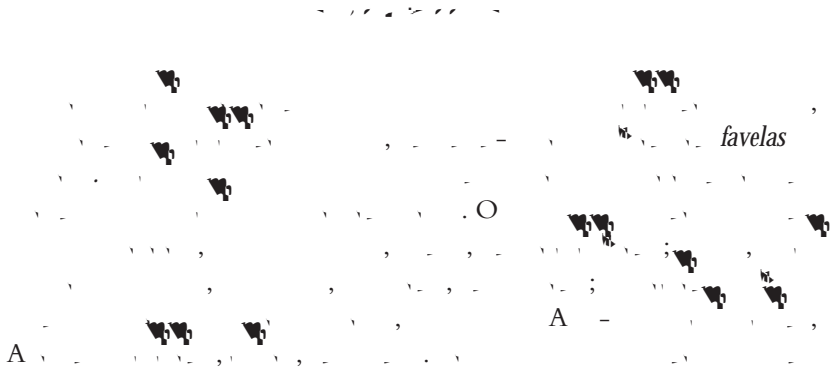
- Voluntary associations
- Friendship and kinship
- Trust and mutual help
- Crime and violence
  
- Urban adaptation
- Familiarity with city
- Heterogeneity of contacts;
- Use of the city
- Use of urban agencies
- Mass media exposure
  
- Religious orien of the city

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## THE UN-MAKING AND RE-MAKING OF MARGINALITY



*As part of the authoritarian, hierarchical social ordering of Latin American societies, being poor means not only economic, material deprivation but also being subjected to cultural rules that convey a complete lack of recognition of poor people as bearers of rights. In what Telles (1994) has called the incivil-*

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*-favelados*

### New Citizenship or Pseudo Citizenship?

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 (2003: 15). A,







*“lack of citizenship” or “new citizenship” never gained much currency among the favelados because their living conditions never permitted them the luxury. Instead, other actors such as NGOs, political parties and academics were the ones that had the leisure to coin new terms for describing what in fact continues to be structural impediments to full participation in the decision-making process for allocating public resources (2003: 5).*

L... , B... , L... P... P... , L... I... FL...  
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*A few years ago, 50 percent of this community were marginais, but now-  
days it is zero [because people have either improved their circumstances or*

## ADVANCED MARGINALITY

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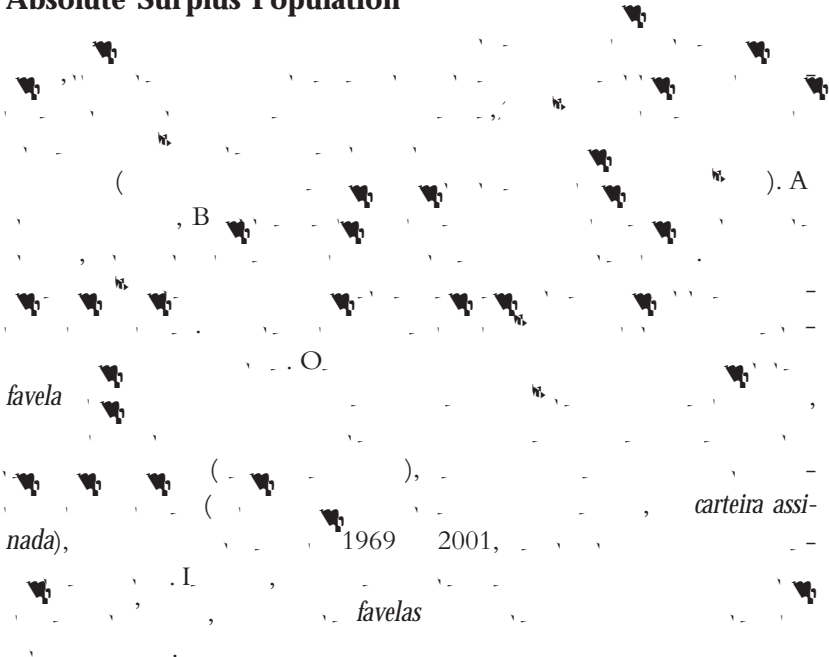
B ...

## Social Inequality

... B ...

When I first met you, I thought that if I worked very hard all of my life and took on extra work in the evenings and weekends; and if my wife did so as well, we would manage to become “people” (*gente*). We have raised our two daughters, sent them to good private schools, retired from our jobs with full benefits and are both still working full-time, yet we are further from the “normal people” now than we were then—we are “light years” away.

## Absolute Surplus Population



## Retrenchment of the

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(65 ). A *favela*" (66 ),  
L 1969 , 56  
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O  
P B A



## Where are they now?

	Type of Sample	Favela	Public Housing Complex	Neighborhood
RANDOM	Original Interviewees	37	25	34
	Children	36	16	44
	Grandchildren	32	13	51
LEADERS	Original Interviewees	11	21	61
	Children	24	17	56
	Grandchildren	28	6	58

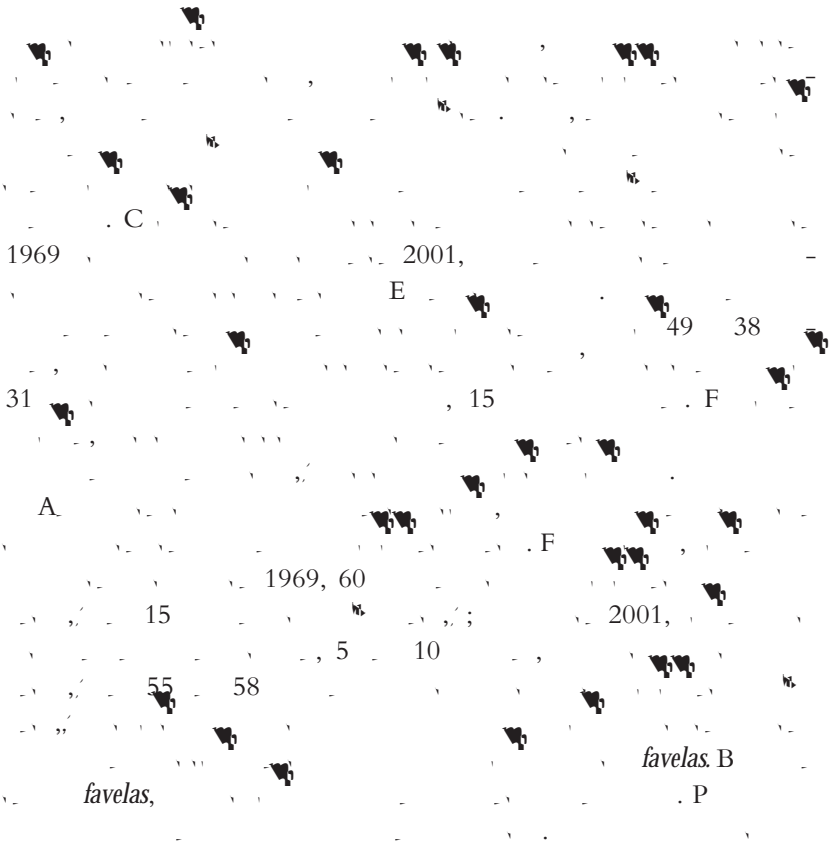
## DRUGS AND VIOLENCE: THE NEW REALITY OF MARGINALITY

... *favelas*. ... 1969, ...

... C ... 16 ... C ... 60 ... L, 2001 ... (20%) ... 19% ... 18%



*To live in a place where you do not have the liberty to act freely, to come and go, to leave your house whenever you want to, to live as any other person who is not in jail. It is imprisoning to think: "can I leave now or is it too dangerous?" Why do I have to call someone and say that they shouldn't come here today? It is terrible, it is oppressive. Nobody wants to live like this.*







*I am caught between crime and necessity  
 Those who should be providing protection  
 Invade the favela with weapons in hand...  
 Those who come seeking our votes, they too have killed*

*The life of crime is slow suicide  
 Bangú, 1, 2, 3, my friends inside there  
 I am involved, I know the outcome  
 A negative balance, minus one marginal  
 For society to count, one fewer on the list  
 Adding weight to a sad statistic...*

*I don't know which is worse, turning into a bandit  
 Or killing yourself for the minimum wage...<sup>xlviii</sup>*

*MV BILL, "Soldado do Morro"  
 (Soldier of the Hillside) from the album  
 "Traficando Informação," 1999*

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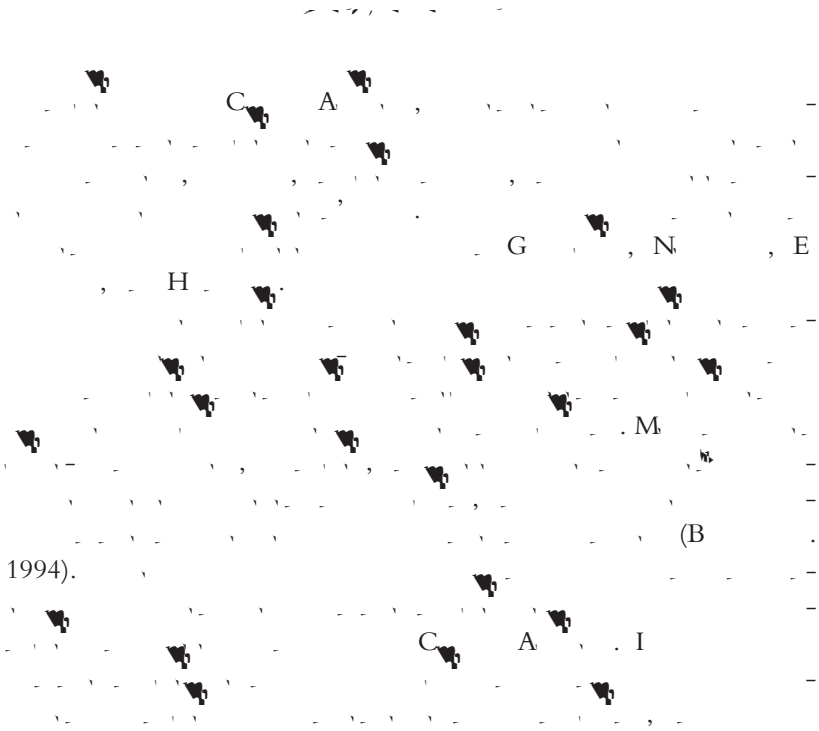
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## CHAPTER TWO



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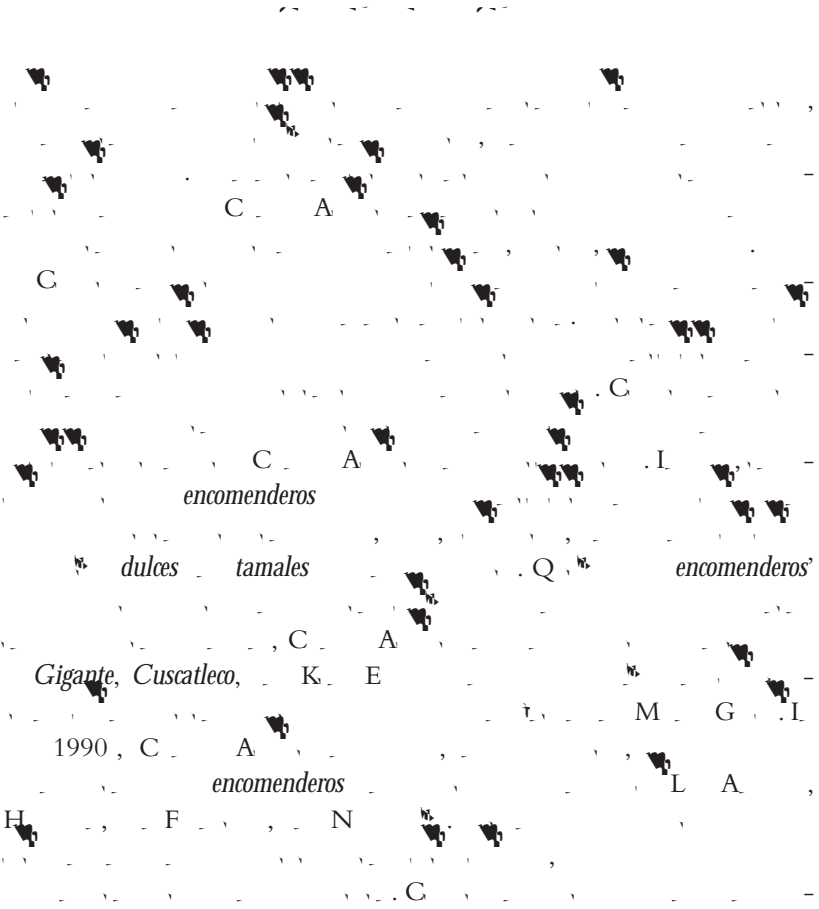
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**Table 2. Estimates of the Hispanic Population in the United States, 1990 and 2000**

	1990	1990
<b>Central American</b>		
Costa Rican	1,387,331	
Guatemalan	279,360	
Honduran	142,481	
Nicaraguan	212,481	
Panamanian	100,841	
Salvadoran	583,397	
<b>Other Central American</b>	<b>68,772</b>	



**Table 3. Remittances Compared to Various Economic Indicators**

Selected Indicators	El Salvador	Guatemala	Honduras	Nicaragua
Remittances (1999)	\$1.5 billion	\$535 million	\$368 million	\$345 million
Remittances as a percentage of ...				
Foreign Direct Investment	684%	364%	160%	115%
All exports	63.2%	19.3%	21%	63%
GNP	12.6%	3.0%	6.8%	14.4%

Source: World Bank Development Report Statistics, 1999

(P 1997).



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*paisanos*, L, A

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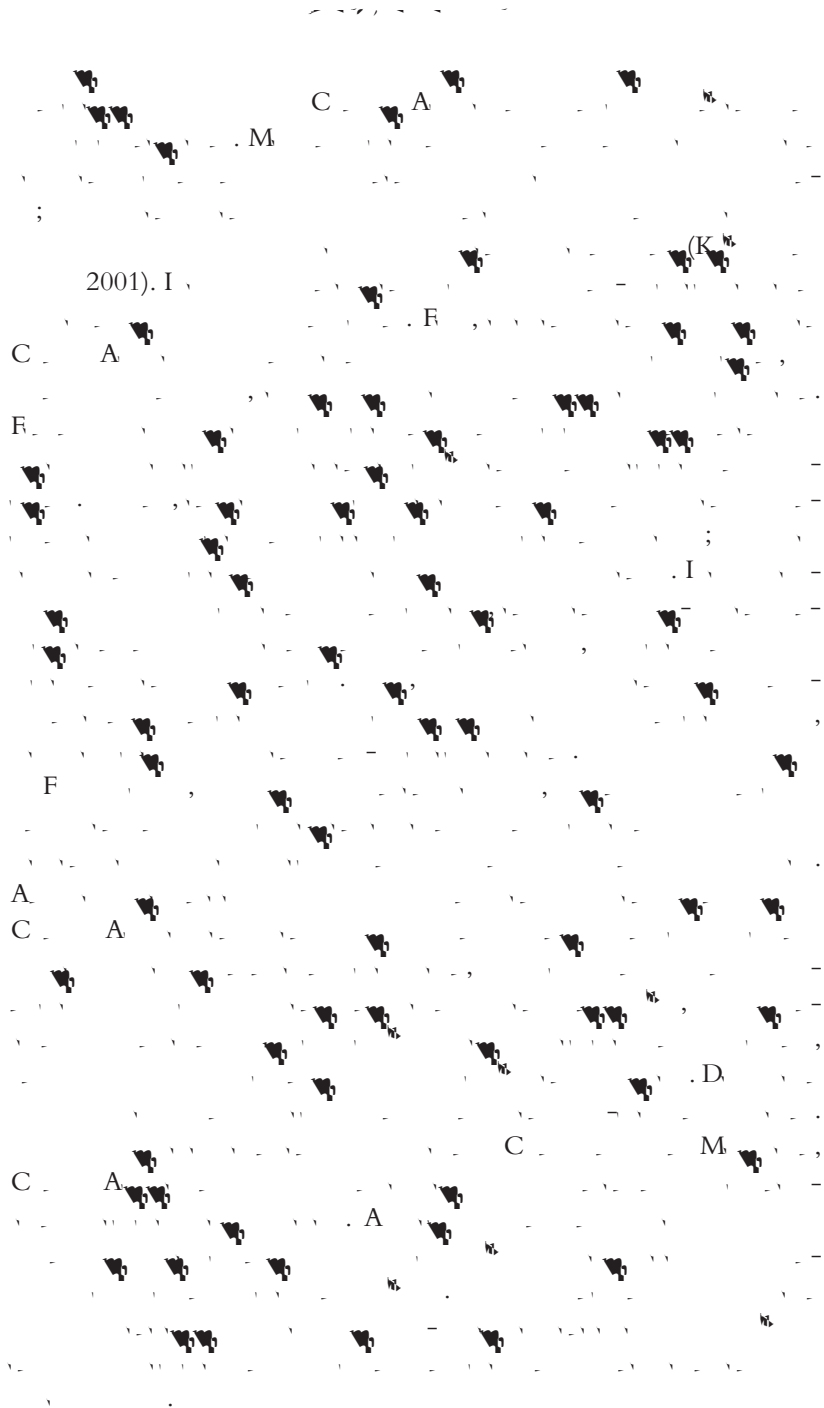


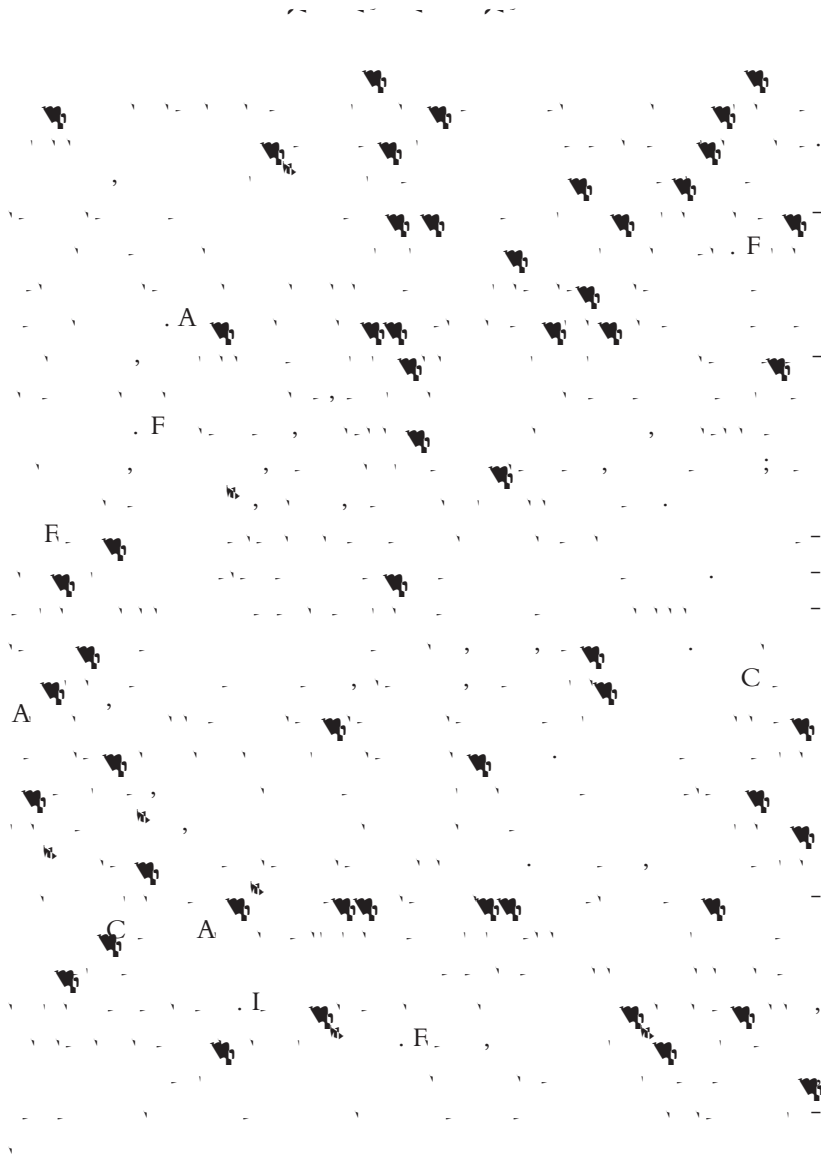
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**CONCLUSION**

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*maquiladora*  
(P 1997; 2002). I







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# **The New Urban Poverty in Argentina and Latin America**

GABRIEL KESSLER AND MERCEDES DI VIRGILIO

INTRODUCTION

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*Nueva Pobreza en la Argentina (The New Poverty in Argentina),*

G K (1998),

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### THE NEW POVERTY IN THE INTERNATIONAL CONTEXT

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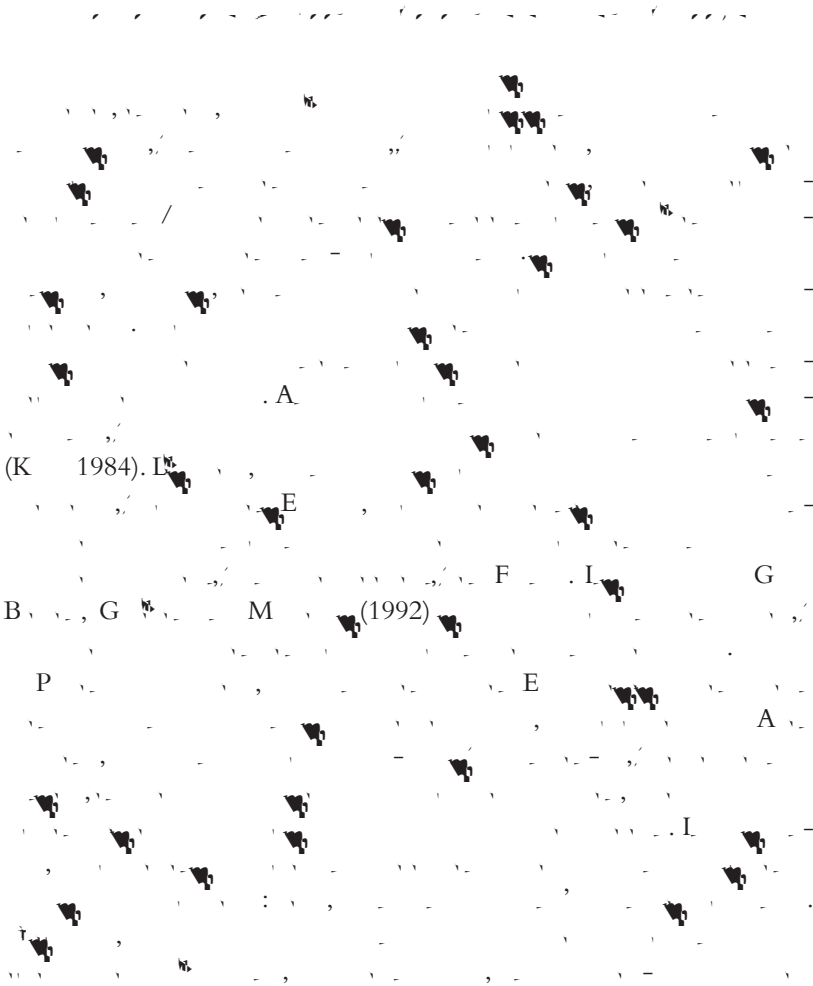
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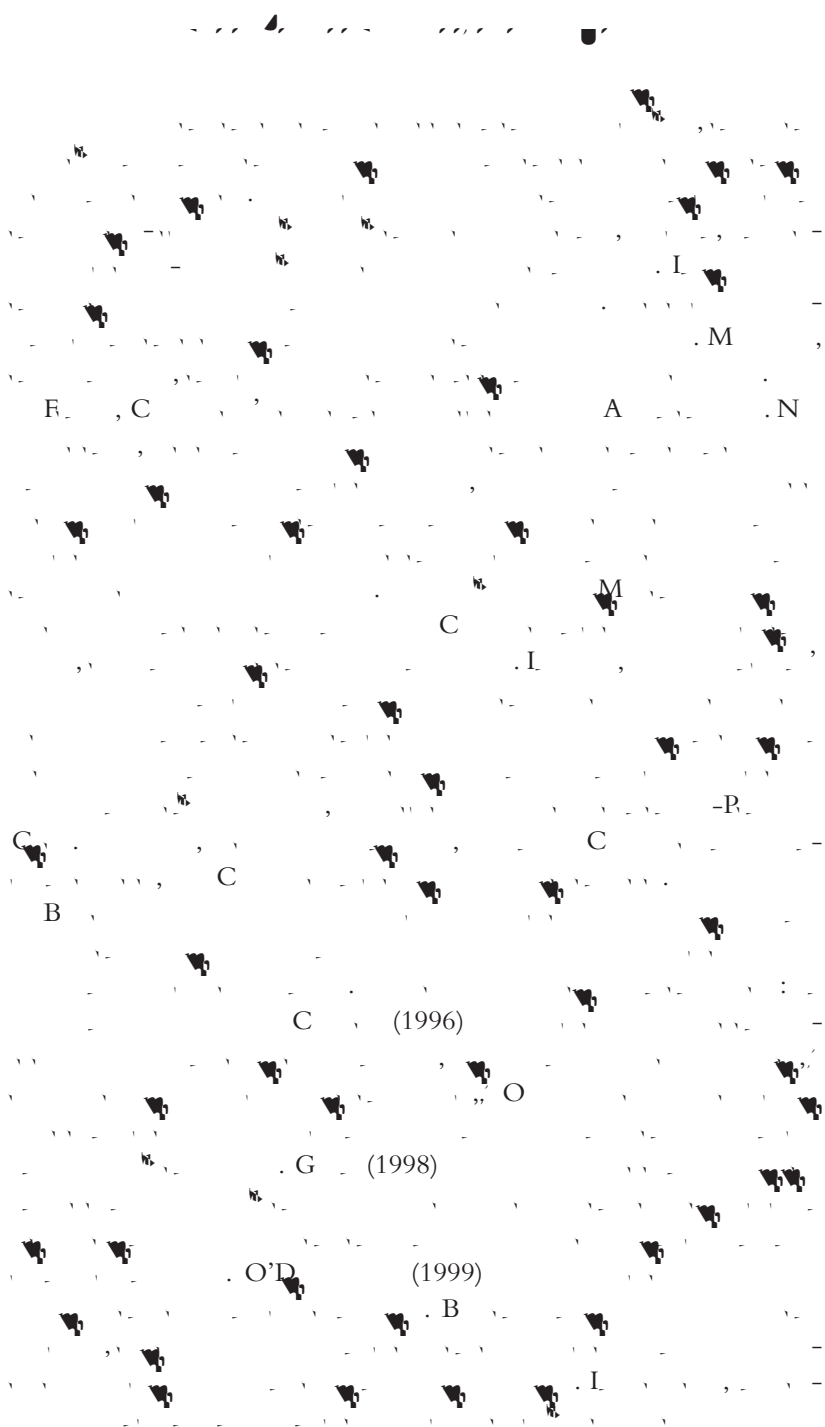




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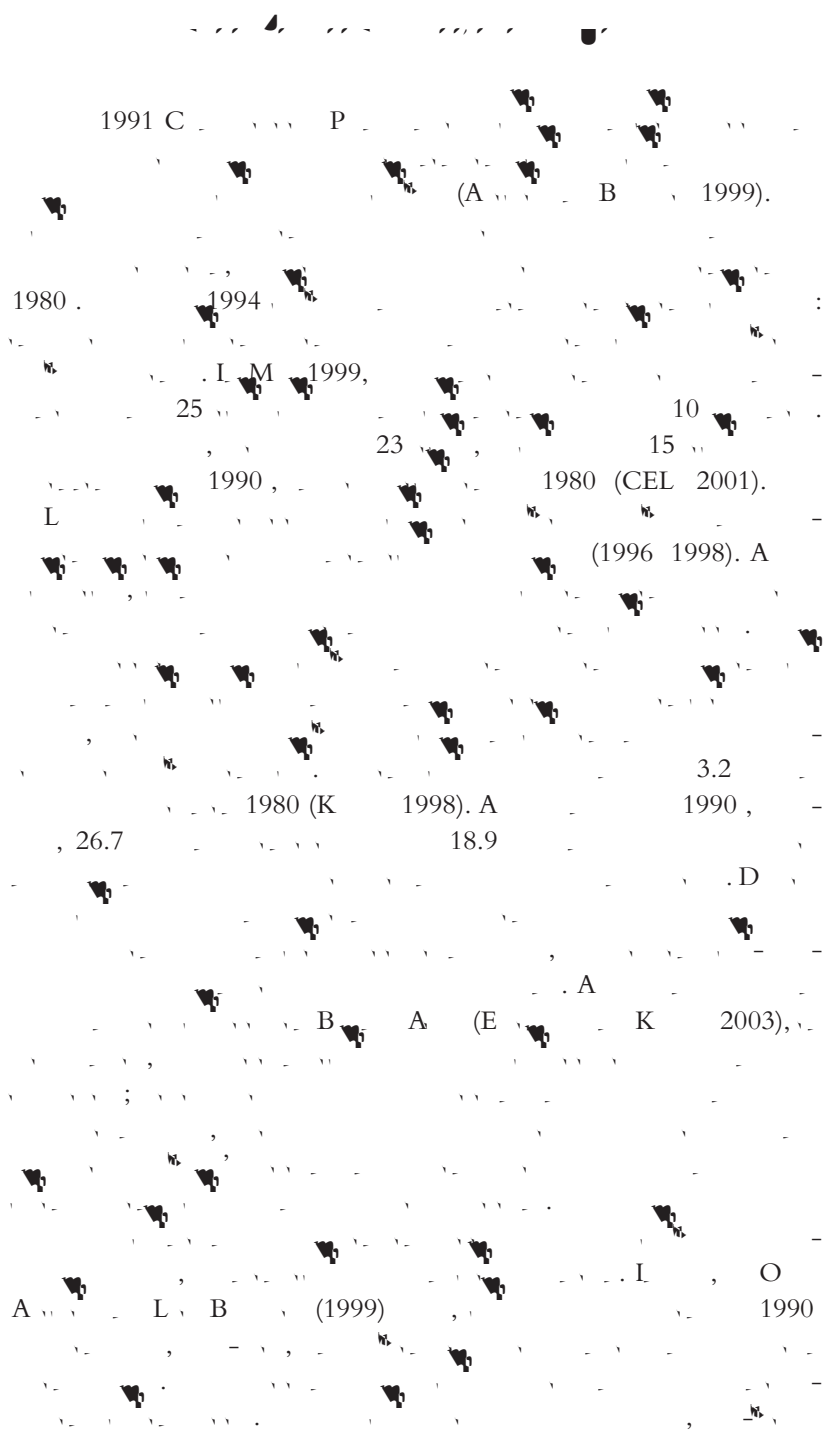
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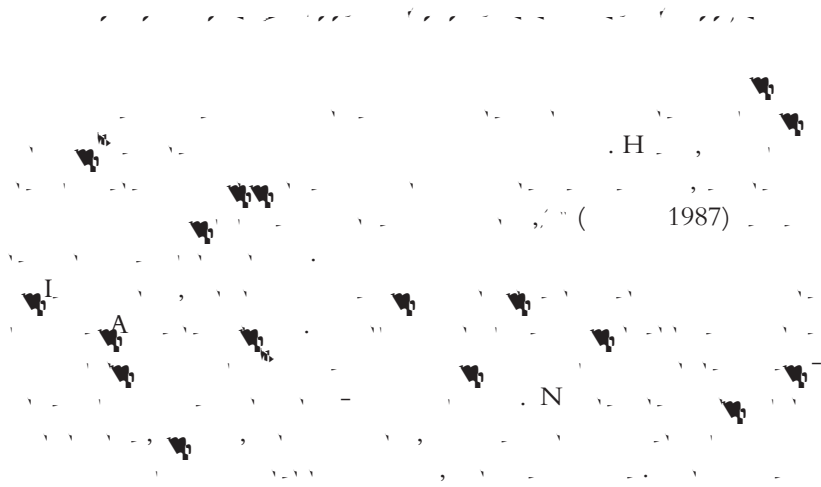




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(K 2002 ),

**THE EXPERIENCE OF PAUPERIZATION**







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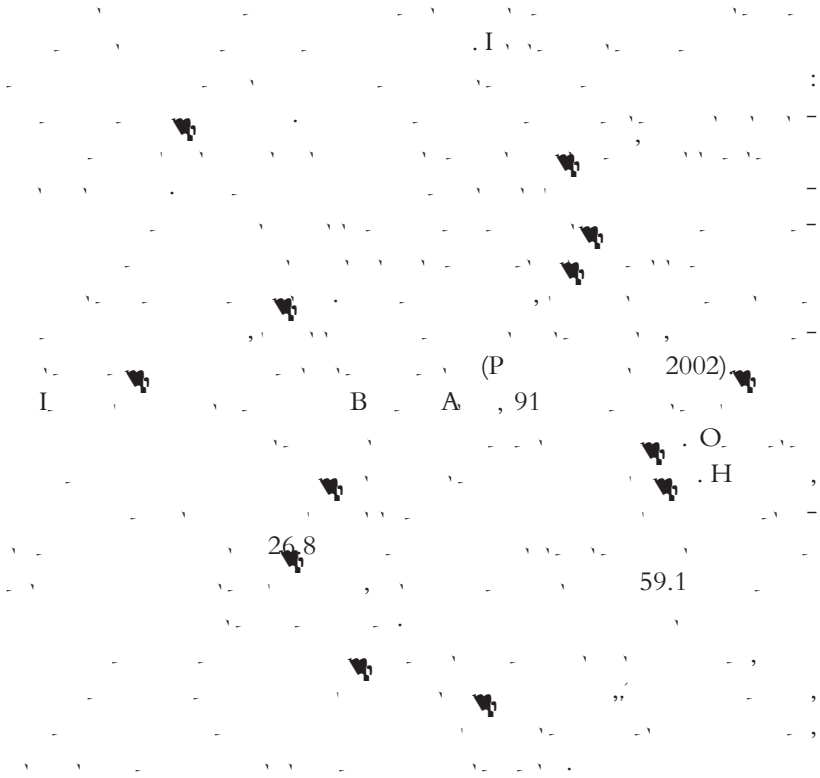


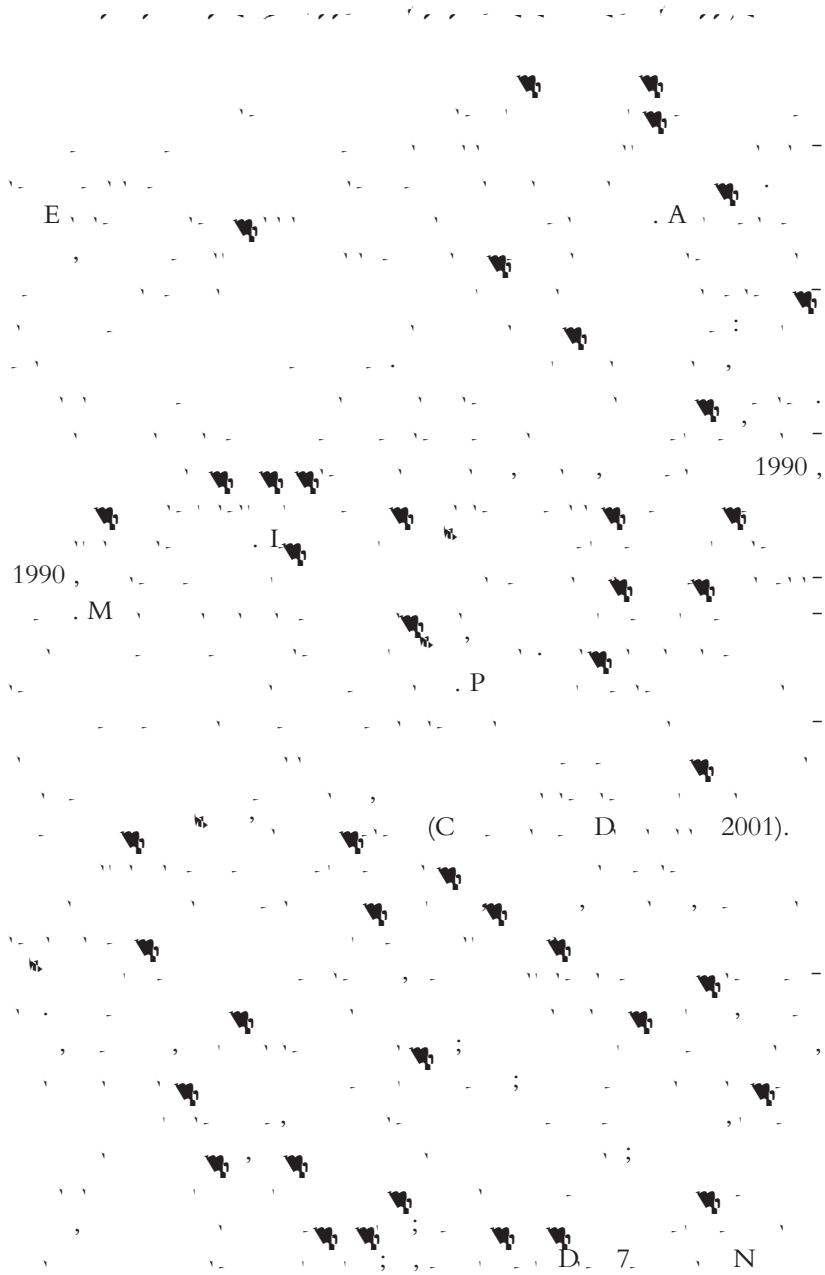


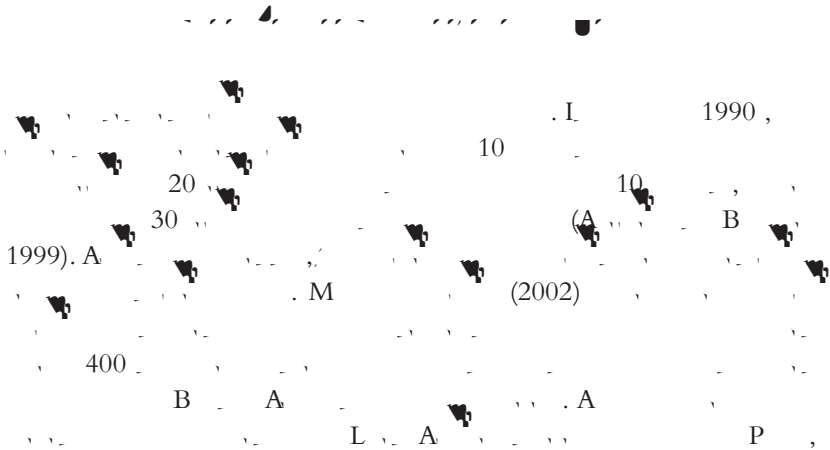




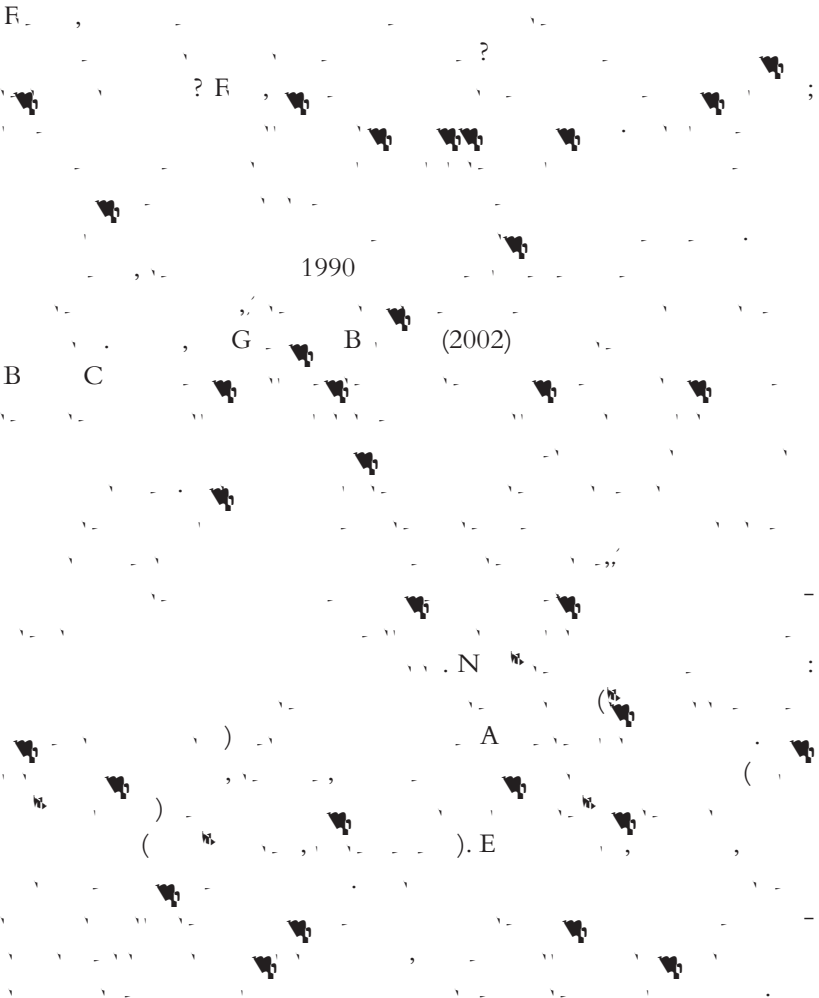
## THE URBAN DIMENSION OF THE NEW POVERTY







## DOWNWARD AND UPWARD MOBILITY IN RECENT YEARS









## FINAL REFLECTIONS

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## NOTES

1. A 1960 (G B A ( 36.5
2. L<sup>2</sup>, 77
3. (G 1972).
4. 1993
5. 1995 B A

(1992: 5).  
 D C (2002).  
 D M G (2002).  
 D R (2002)  
 K (2002)

*... whether our own or those that were transmitted to us by our parents or our teachers; these experiences, in the form of "available knowledge" function as frameworks of reference.*" (1987: 12). D. C

H B  
 (1994:112).

A R B (1979),  
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*attitudes, inclinations to perceive, feel, do, and think, that are internalized by individuals based on their objective life conditions and that operate as unconscious principles for action, perception, and reflection"* (A C 1986: 229).

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**The Hound of Los Pinos and the Return  
of Oscar Lewis: Understanding Urban  
Poverty in Mexico**

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... E ...

**LIBERALS OFFER JUÁREZ AS THE MODEL FOR THE POOR**  
D... 1876 - 1910, P... D...  
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(B 2004)

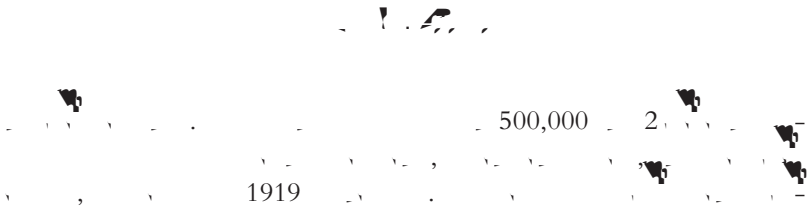
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C... (The H... 2000: 101-126).

C... C... C...  
1926-1929, C... C...  
1930 (M... 1973). I...

O... *mestizo*

N... 1940,

I... 1960,  
J...  
, *The Mexican Revolution: Federal Expenditure and Social Change*,

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( ) ( 1968: 246-275).

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( 1968: 159, 237).

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G , O , C ,



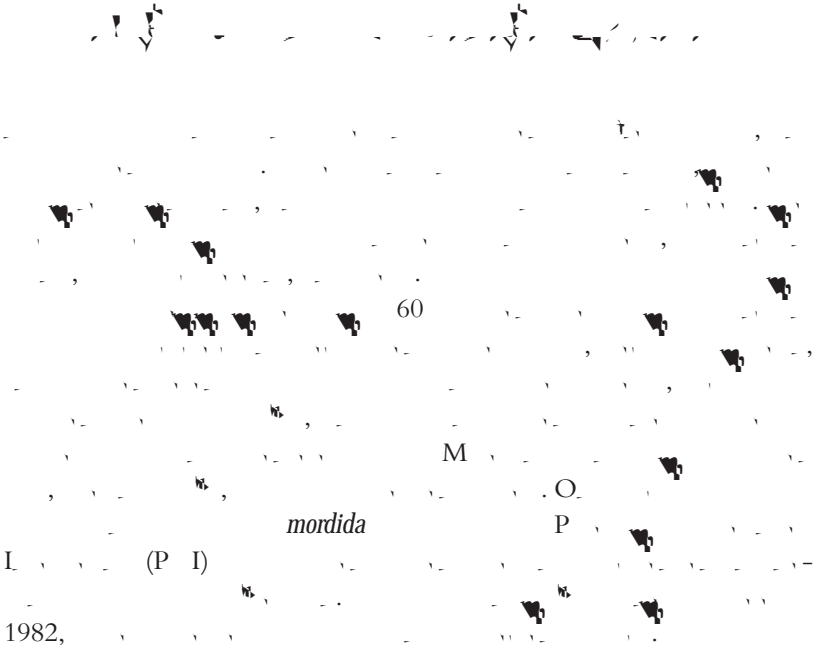
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*Five Families* (1959), 1  
*The Children of Sánchez* (1961). B

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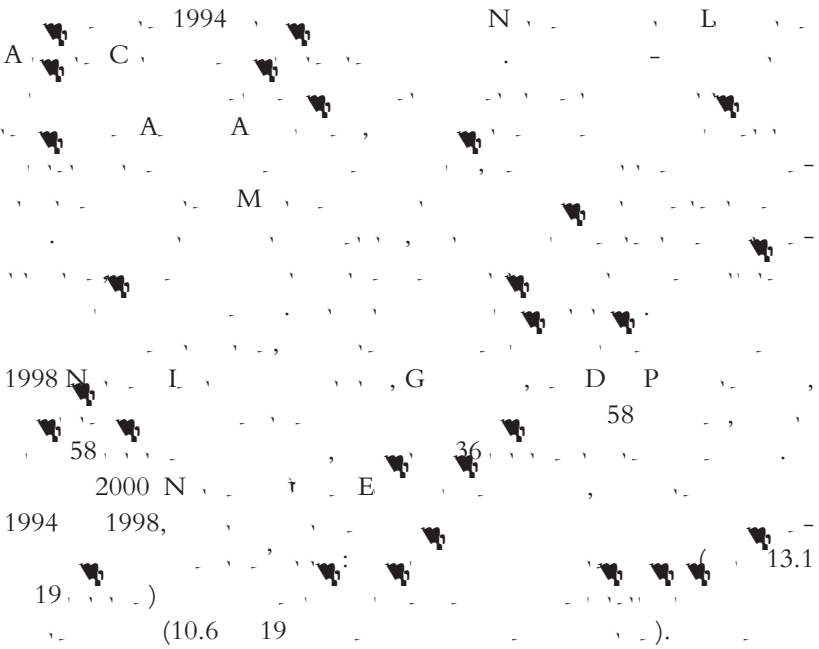
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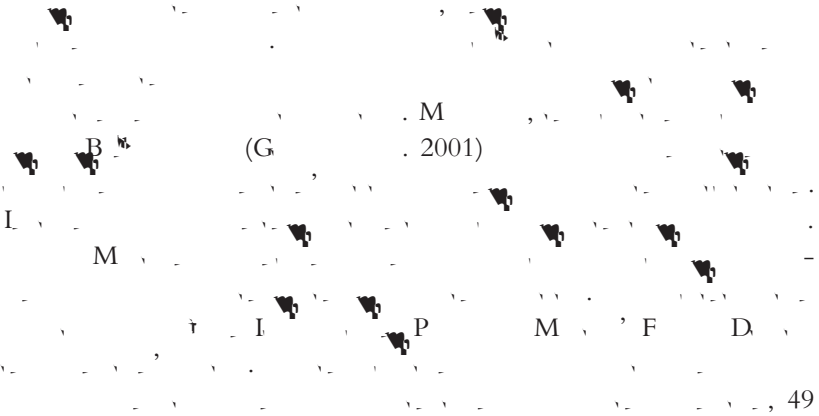
L... , ... H... P... J...







B. Evaluation of Poverty in Mexico



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*delegaciones. N*  
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 (F 2001: 323).  
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*radionovela.*  
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2. C. ... B (2004). ... L. : A. ... M. ... D. ... N (1995). F. ... B (1990).
3. L. G. ... I. ... C. ... L. ... F. ... O. L. ... L. ... (1988). ... (1930) ... L. (1963) ... O. ... N. 1998: 29-71. ... \$48 ... \$43 ... O'C. ... (2001: 291-295). ... F. ...



**Welfare Capitalism After Communism:  
Labor Weakness and Post-Communist  
Social Policies**

STEPHEN CROWLEY

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## THE EUROPEAN WELFARE STATE

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(M 1950; E A 1990; C 1999). O

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(A 2002: 313).

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(C 1999: 377; H , 1993).

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... (P ... 2001: 7, 12).

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... .. (C 1999: 378).

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... .. (P 2001: 14).

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M 1984; M 1999). J  
( P 2000).  
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(B , 2002).  
(L C F  
2003).

## **LABOR WEAKNESS IN POST-COMMUNIST SOCIETIES**





...L...E... (1992). O... F...H...

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(2002: 256; A C).  
L 1997, C  
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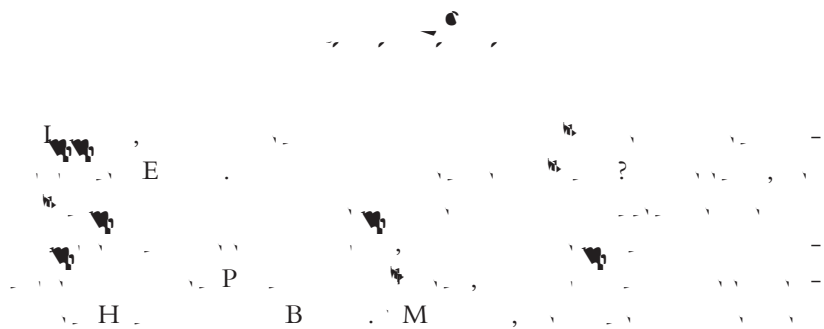
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..... E ..... F ..... H ..... C ..... L ..... D ..... 20

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(A 2002). O A

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R 2000 E

(H 2000: 13).

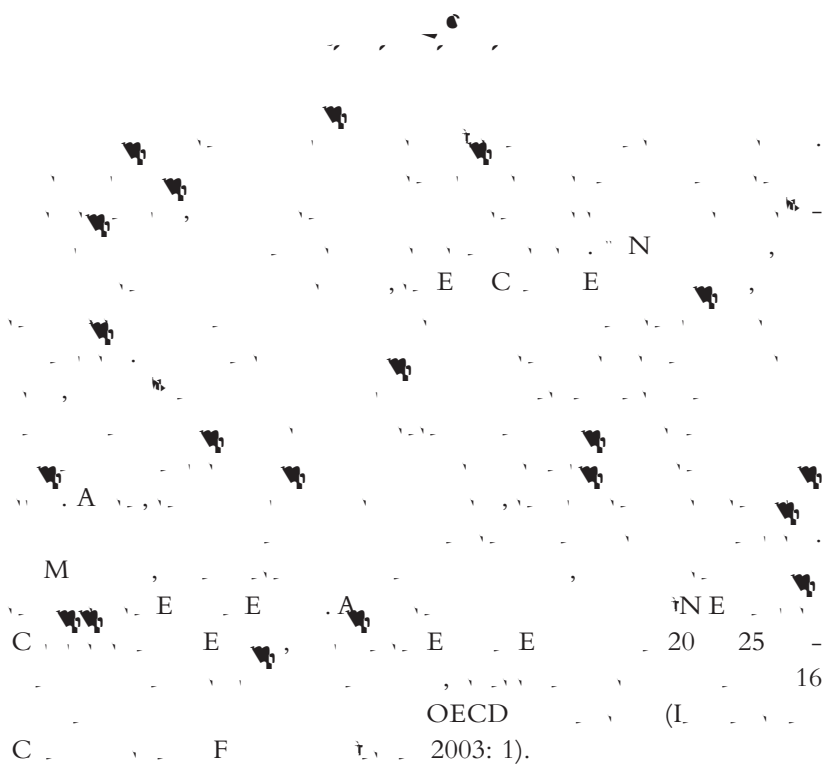
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E (M , 2002).

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Wallerstein, D. 1997. *Has Globalization Gone Too Far?* Cambridge, MA: Harvard University Press.

## NOTES

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7. H (2002) 19.6
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12. F P (2001).
13. E N
14. E A (1992: 102-132). I , J (2003 )
15. E 1989-1998,
16. 1992-2001,
17. (I L O 2002; D , 2000).
18. A
19. O H (2001).

(1995), O (2000), (1992).

O (2001), H (1999), K (1999), C (1996).

O 75 7; E (2002).

L 20 (2002).

A (2002) (1999).

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L \$1.64 E (M).

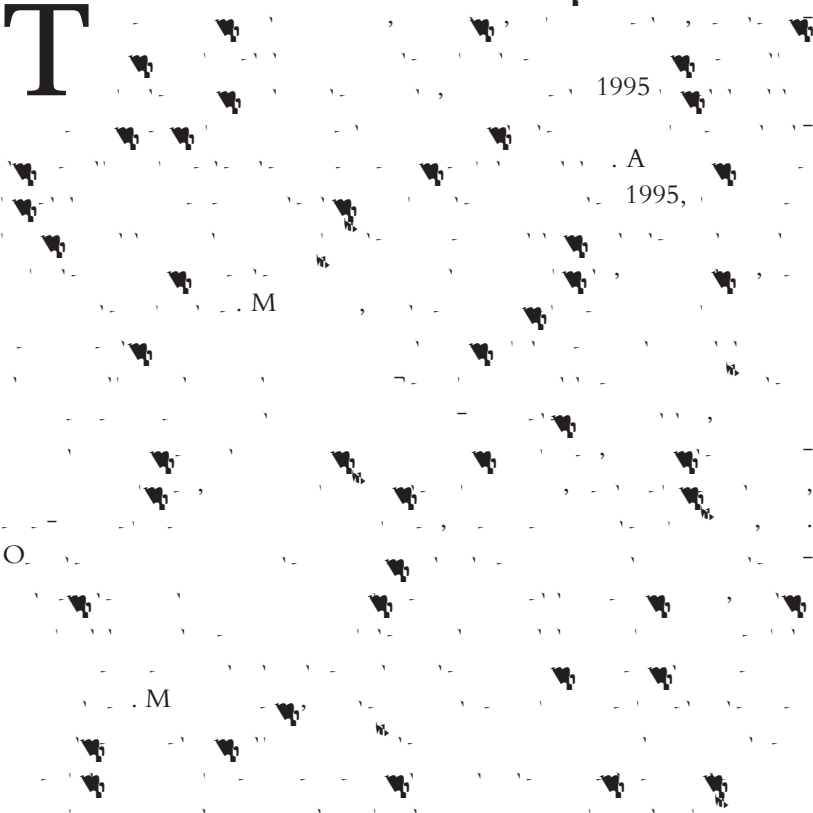
H (M, 1998).

A M (

**Designing a “Scorecard” to Monitor and Map Social Development of Municipalities in Tomsk Oblast (Russia)<sup>i</sup>**

*ANASTASSTIA ALEXANDROVA AND POLINA KUZNETSOVA*

**INTRODUCTION**



A ( , L 1993; 1994; 1998; G -M 2001). C D I

A C (G ) F 89 ( 2,200 G ) H

2001).

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## TOMSK OBLAST AS A CASE STUDY OF INTRA-REGIONAL DIFFERENTIATION

(S. V. F...), 2002,

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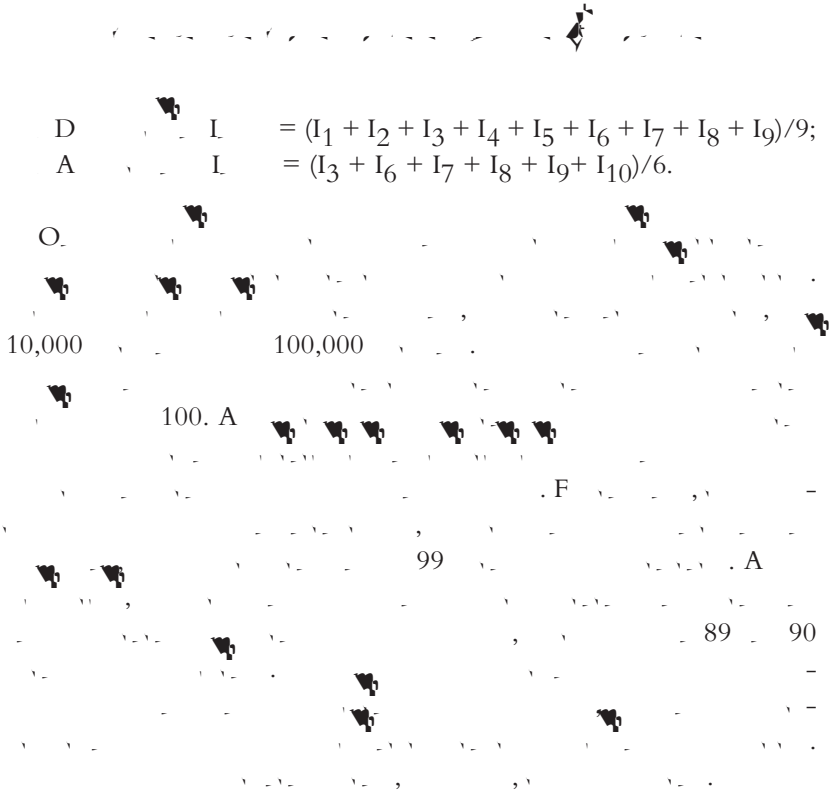
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**USING SCORES TO ASSESS THE LEVEL  
OF MUNICIPAL DEVELOPMENT**







**Economic Development Index:**

$$I_1 = M_1/3 + M_2/4 + M_3/4 + M_4/18 + M_5/18 + M_6/18,$$

$$M_1 \quad \quad \quad (1/3);$$

$$M_2 \quad \quad \quad (1/4);$$

$$M_3 \quad \quad \quad (1/4, -);$$

$$M_4 \quad \quad \quad (1/18 -);$$

$$M_5 \quad \quad \quad (1/18, -);$$

$$M_6 \quad \quad \quad (1/18, -).$$

**Demographic Situation Index:**

$$I_2 = M_7/3 + M_8/3 + M_9/3,$$

$$M_7 \quad \quad \quad (1/3, -);$$

$$M_8 \quad \quad \quad (1,000) \quad \quad \quad (1/3, -);$$

$$M_9 \quad \quad \quad (1,000) \quad \quad \quad (1/3).$$

**Population Income Index:**

$$I_3 = M_{10} * 2/9 + M_{11}/9 + M_{12} * 2/9 + M_{13}/9 + M_{14}/9 + M_{15}/9,$$

$$M_{10} \quad \quad \quad (2/9);$$

$$M_{11} \quad \quad \quad (1/9, -);$$

$$M_{12} \quad \quad \quad (2/9);$$

$$M_{13} \quad \quad \quad (1/9);$$

$$M_{14} \quad \quad \quad (1/6, -);$$

$$M_{15} \quad \quad \quad (1/6, -).$$

**Fiscal Burden from Privileges<sup>vi</sup> Index:**

$$I_4 = M_{16}/5 + M_{17}/5 + M_{18} * 2/5 + M_{19}/5,$$

$$M_{16} \quad \quad \quad (1.5, -);$$

$$M_{17} \quad \quad \quad (1/5, -);$$

$$M_{18} \quad \quad \quad (2/5, -);$$

$$M_{19} \quad \quad \quad (1/5, -);$$



**Employment Service Index:**

$$I_5 = M_{20}/3 + M_{21}/6 + M_{22}/6 + M_{23}/6 + M_{24}/6,$$

$$M_{20} \quad (1/3, -);$$

$$M_{21} \quad (1/6);$$

$$M_{22} \quad E \quad (1/6, -);$$

$$M_{23} \quad (1/6);$$

$$M_{24} \quad E \quad (1/6).$$

**Housing and Utility Sector Index:**

$$I_6 = M_{25}/2 + M_{26}/6 + M_{27} \cdot 2/9 + M_{28}/9,$$

$$M_{25} \quad (1/2);$$

$$M_{26} \quad (1/6);$$

$$M_{27} \quad 1 \quad (2/9);$$

$$M_{28} \quad (1/9, -).$$

**Healthcare Sector Index:**

$$I_7 = M_{29}/6 + M_{30}/4 + M_{31}/4 + M_{32}/4 + M_{33}/12,$$

$$M_{29} \quad (100\ 000) \quad (1/6, -);$$

$$M_{30} \quad (10\ 000) \quad (1/4);$$

$$M_{31} \quad (10\ 000) \quad (1/4);$$

$$M_{32} \quad (1/4);$$

$$M_{33} \quad (1/12).$$

**Childcare Sector Index:**

$$I_8 = M_{34}/4 + M_{35}/4 + M_{36}/8 + M_{37} \cdot 3/8,$$

$$M_{34} \quad (1/4, -);$$

M<sub>35</sub>

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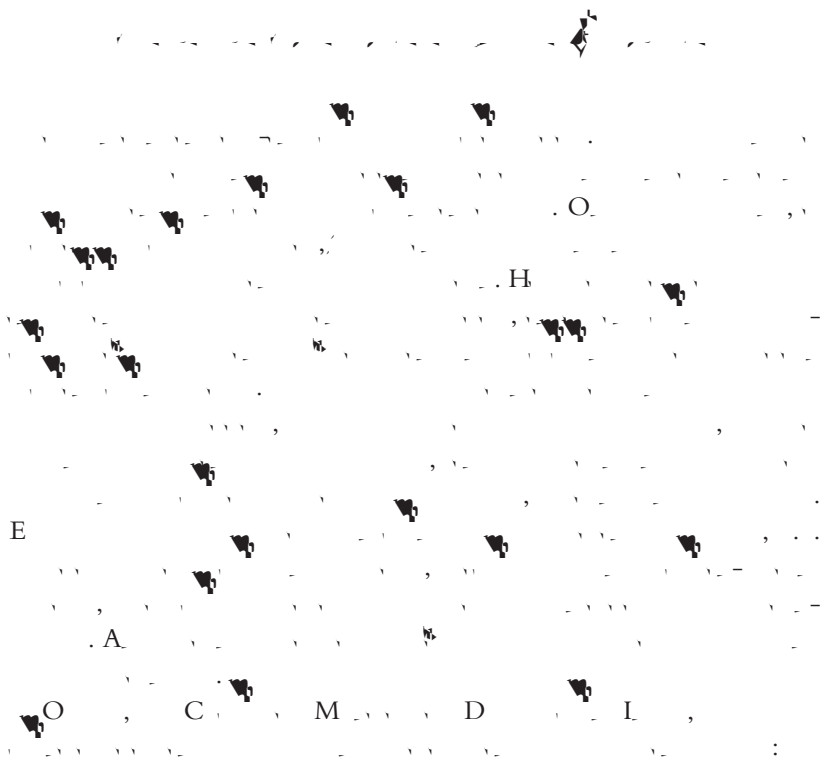
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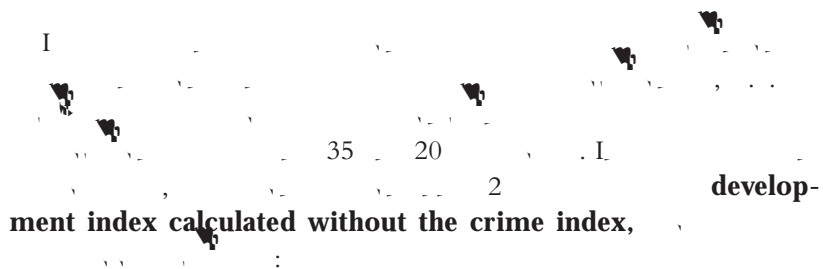
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**APPLICATION OF THE SCORECARD  
 TO TOMSK OBLAST MUNICIPAL DATA**



H (68 - 73), K (46 - 50);  
 A (50 - 55), K (46 - 50);  
 B (50 - 55), K (46 - 50);  
 P (50 - 55), K (46 - 50), A (50 - 55);  
 M (50 - 55), K (46 - 50);  
 L (33 - 34), P (40 - 45), C (40 - 45).



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 M 51 54;  
 14 Б;  
 P C  
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**CONCLUSIONS AND RECOMMENDATIONS TO THE OBLAST**

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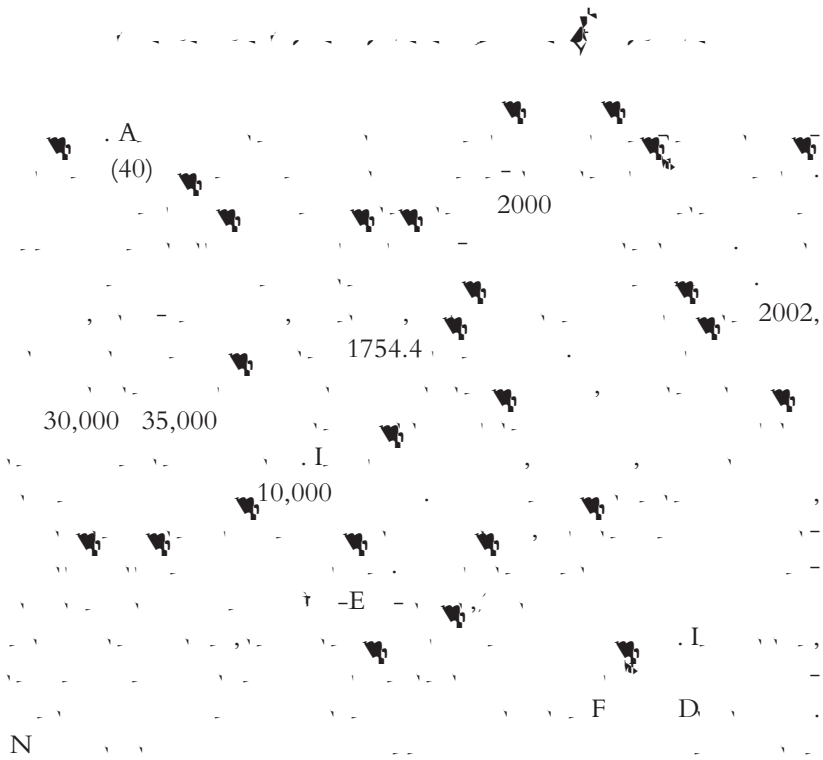
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## Annex 2. Scores of Municipal Development for Tomsk oblast

	Municipality	Economic development score	Demographic situation	Incomes of the pop. score	Fiscal burden from privileges	Labor market score	Housing sector score	Health sector score	Education sector score	Crime situation score	Remoteness score	Composite development index	Composite development index without crime score	Composite index of attractiveness
1.	Alexandrovsky	38	83	39	66	65	26	51	41	65	10	53	51	33
2.	Asinovsky	21	30	24	40	72	67	33	61	40	63	43	44	50
3.	Bakcharsky	19	40	34	40	68	24	46	46	90	33	45	40	37
4.	Verkhneketsky	26	60	31	66	32	21	48	54	55	30	43	42	36
5.	Zyryansky	23	43	30	30	63	32	37	40	35	70	37	37	42
6.	Kedrovyy	69	70	57	92	62	87	38	85	55	23	68	70	58
7.	Kargasovsky	66	57	37	32	37	35	43	46	80	23	48	44	37
8.	Kozhevnikovskyy	36	40	29	56	33	34	28	74	100	90	48	41	51
9.	Kolpashevskyy	28	20	51	28	67	46	50	52	10	43	39	43	49
10.	Krivosheinskyy	24	47	31	28	38	36	38	41	45	63	37	36	42
11.	Molchanovskyy	17	33	32	34	52	41	44	79	10	47	38	42	49
12.	Parabelskyy	28	40	48	36	50	39	40	49	60	23	43	41	40
13.	Pervomaysky	26	37	23	40	25	27	48	44	30	43	33	34	37
14.	Strezhevoi	91	97	69	82	83	94	59	65	20	10	73	80	60
15.	Teguldetsky	19	60	28	24	63	10	32	40	70	37	38	35	29
16.	Chainsky	19	47	19	32	40	33	36	41	35	50	34	33	36
17.	Shegarsky	23	37	31	58	42	39	29	47	60	93	41	38	48
18.	Tomskyy rural	73	57	46	26	82	56	23	70	50	87	54	54	56
19.	Tomsk	60	67	74	40	75	77	86	75	35	100	65	69	82
	<b>Average</b>	37	51	39	45	55	43	43	55	50	49	46	46	46



### Municipality

1.	Alexandrovsky	+1	0	0	0	-7	0	-36	-24	-2
2.	Asinovsky	-16	0	0	0	+4	0	-10	+6	-3
3.	Bakcharsky	-24	0	0	0	+4	0	-26	-24	-7
4.	Verkhneketsky	-2	0	0	0	-8	0	-13	-4	-0.0002 Tc(322Tj0 -1.77778 Tn9
5.	Zyryansky	-30	0	0	0	+7	0	-27	-48	-322Tj0 -1.77778 Th73904.k70 -1
6.	Kedrov	-22	0	0	0	+10	0	-36	0	-24
7.	Kargasoksky	-8	0	0	0	+9	0	-22	-19	
8.	Kozhevnikovsky	-26	0	0	0	+7	0	-22	-4	
9.	Kolpashevsky	-19	0	0	0	+3	0	-14	-6	0
10.	Krivosheinsky	-24	0	0	0	+8	0	-30	-32	0
11.	Molchanovsky	-26	0	0	0	+2	0	-26	+6	0
12.	Parabelsky	-4	0	0	0	+10	0	-16	-19	
13.	Pervomaysky	-5	0	0	0	+9	0	-32	-39	
14.	Strezhevoi	-5	0	0	0	-1	0	-20	+20	
15.	Teguldetsky	-12	0	0	0	+7	0	-9	-33	
16.	Chainsky	-20	0	0	0	+10	0	-6	-17	
17.	Shegarsky	-24	0	0	0	+10	0	-34	-16	
18.	Tomsky rural	-17	0	0	0	+14	0	-13	-13	
19.	Tomsk	-18	0	0	0	-1	0	42	+30	



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## NOTES

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## CHAPTER SEVEN

**JÚLIA SZALAI**

**I**



**THE HISTORICAL ROOTS OF CONTEMPORARY POVERTY**

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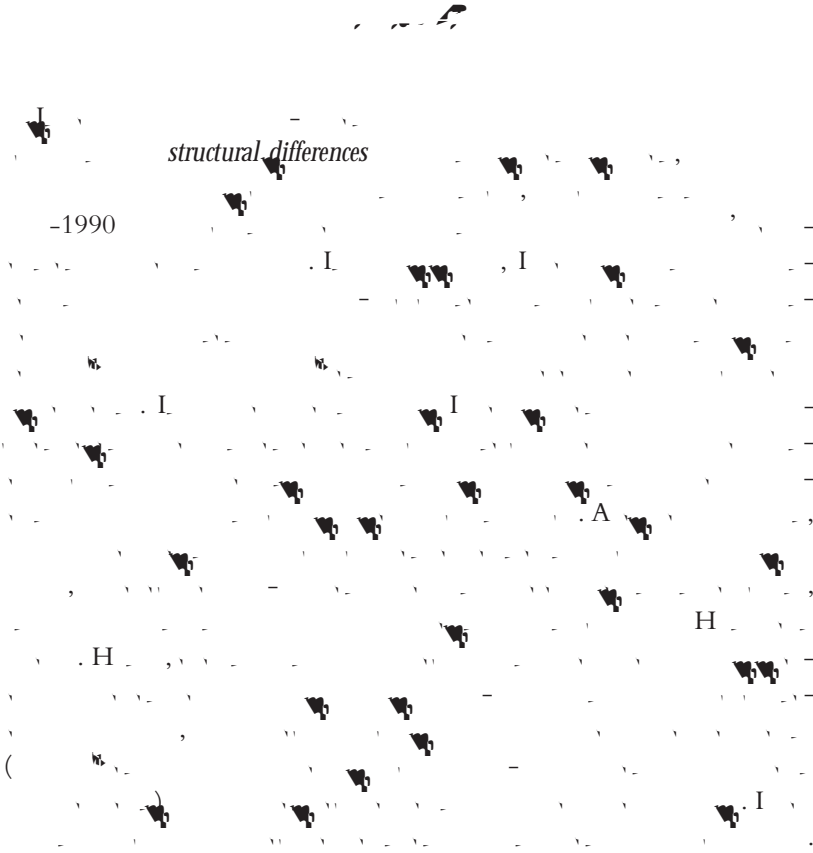




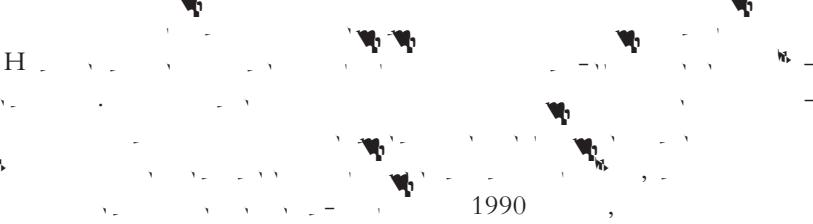
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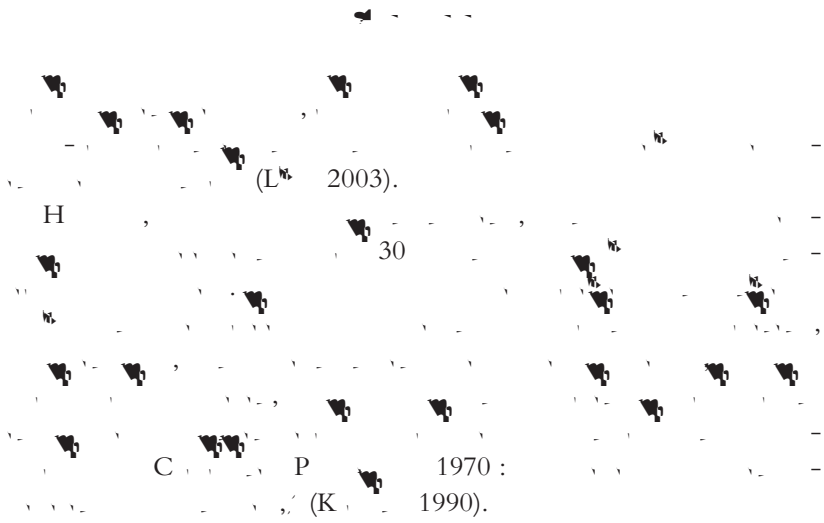


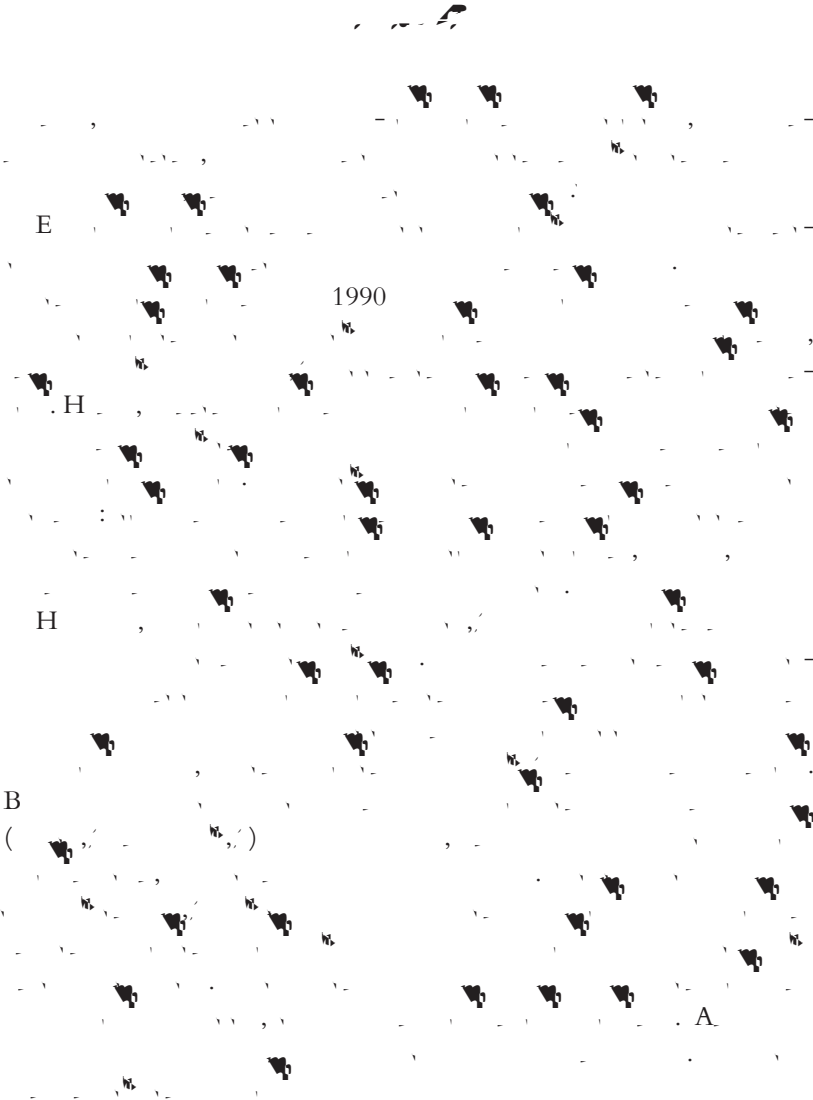


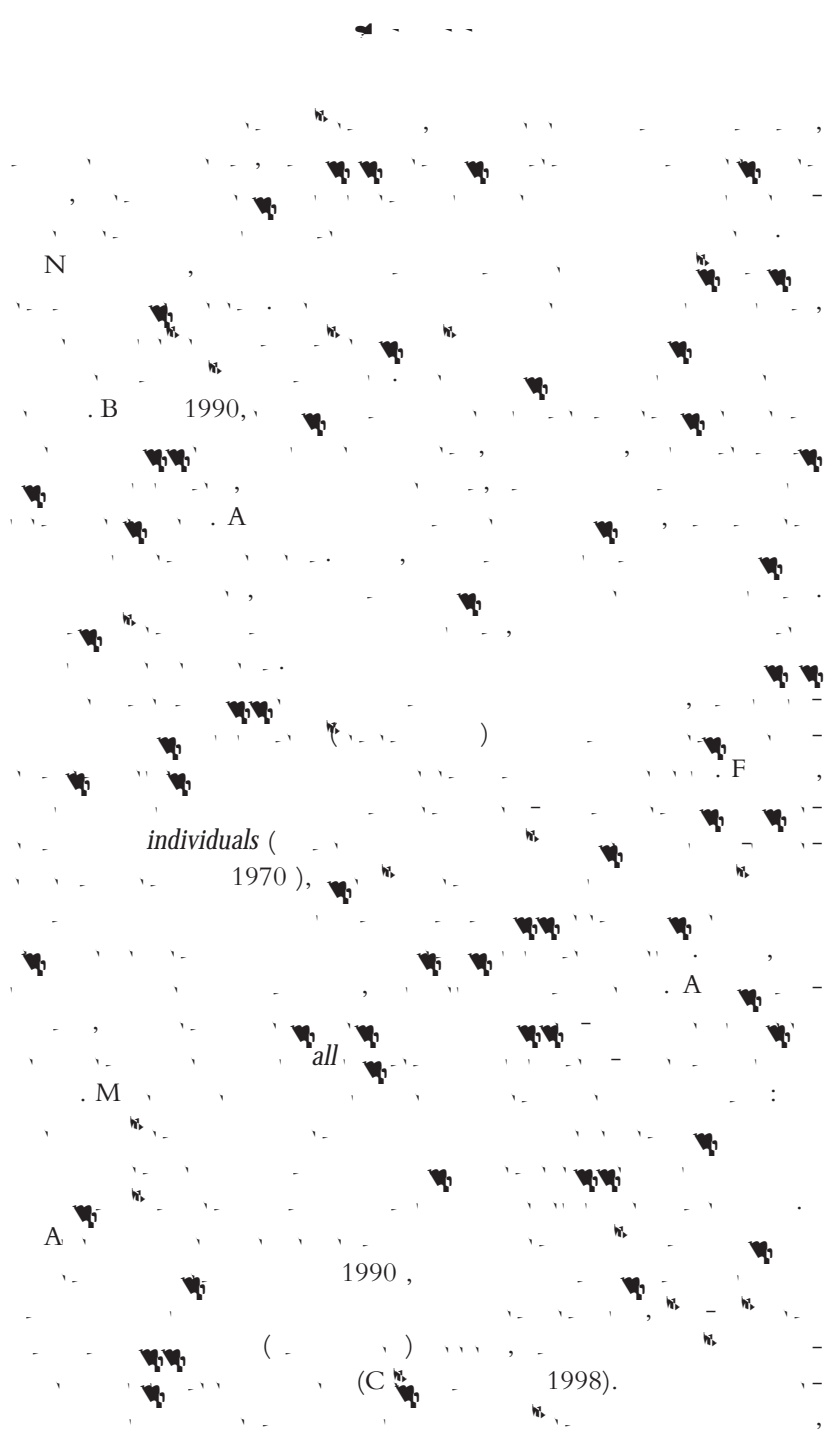


**FROM SOCIALIST INDUSTRY TO THE MARGINS:  
 VARYING ROUTES INTO PERSISTENT POVERTY AMID  
 POST-COMMUNIST TRANSFORMATION**









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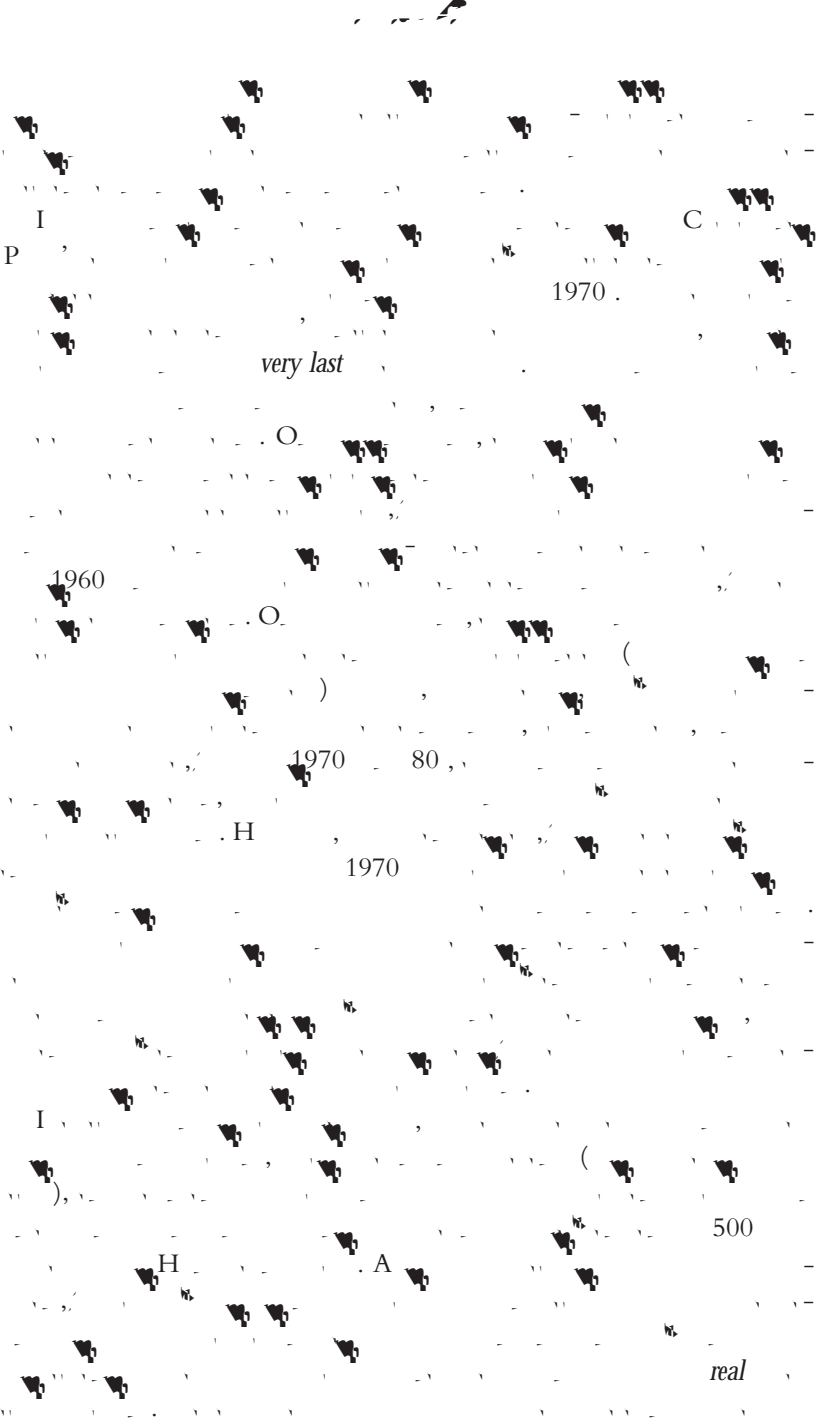
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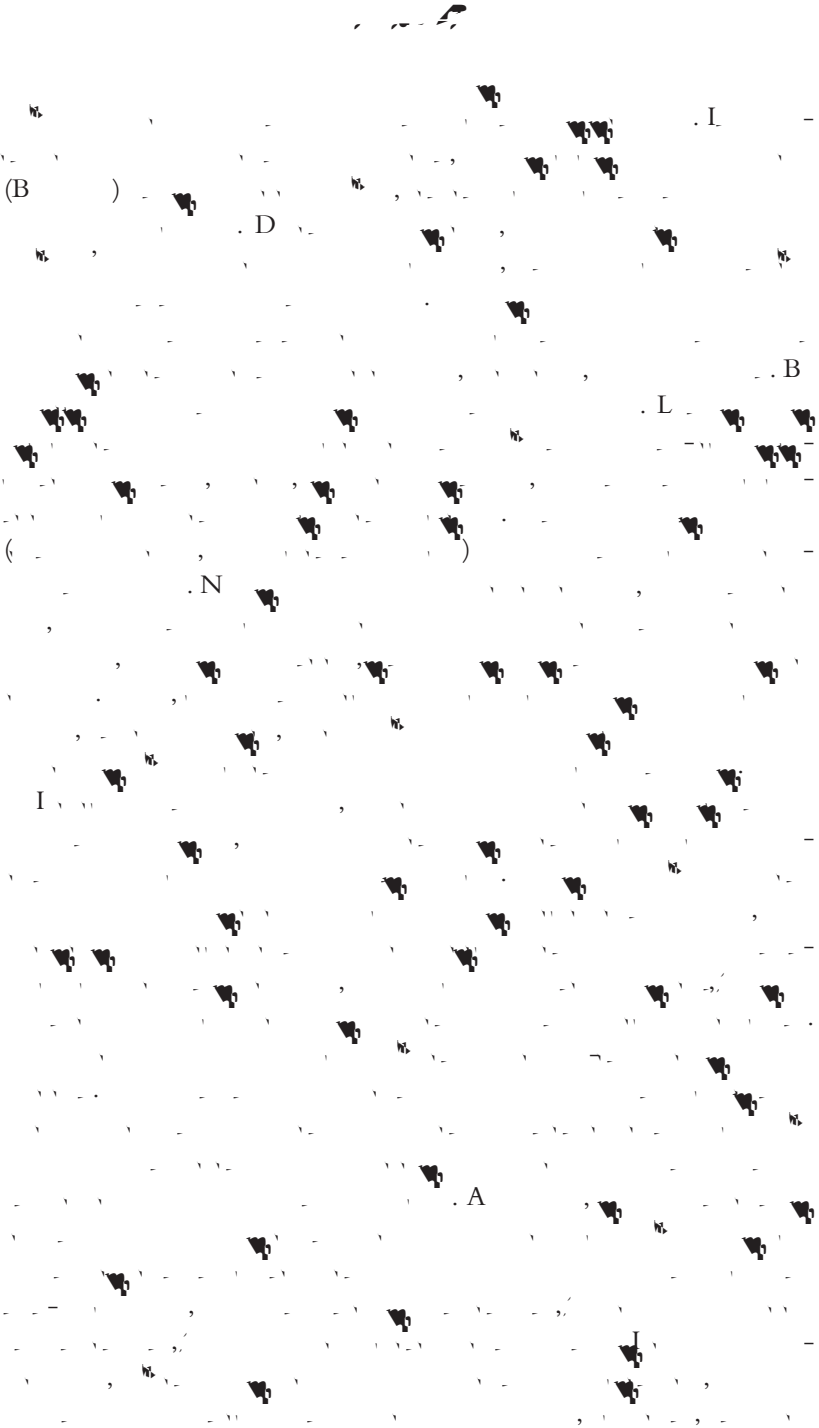
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L. H. ... 1970 ... 1956

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M... L... A... M...

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H... C... C... ( ... M... P...  
1997) ... ( ... D... Q... )  
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**MERCEDES DI VIRGIOLO**, ... L...  
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**LISA M. HANLEY**, ... C...  
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**GABRIEL KESSLER**, ... E...  
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**JULIA SZALAI** (P D), H D P H A  
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AGENDA

**Perspectives on Urban Poverty in Latin America**

17, 2003

C , D.C.

**9:00 am**

**WELCOME**

**Joseph S. Tulchin**, C -C , C , P

**9:15 am**

**URBAN SOCIAL POLICY IN LATIN AMERICA**

**Clarisa Hardy**, F - C , 21

**Eduardo Amadeo**, P , A , P , A

**Barbara Stallings**, B - t ,

**12:00 pm**

**LUNCHEON**

**1:00 pm**

**UNDERSTANDING URBAN POVERTY**

**William Beezley**, t , A ,

**Janice Perlman**, M -C , P , C

**Gabriel Kessler**, t , N , G -

**Patricia Landolt**, t ,

C : **Marta Scheingart**,

E C , M ,

**3:30 pm**

**STRATEGIES FOR URBAN POVERTY ALLEVIATION**

**Marianne Fay**, B -

**Patrick Breslin**, I -A , F ,

**Jeff Boyer**, t AID

**Alan Wagenberg**, I -A , D , B -

C : **Clarisa Hardy**, F - C , 21





## AGENDA